

412 2762
PATENT
2161

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of: Joseph P. Bigus et al. : Date: April 16, 2002
Group Art Unit: 2762 : IBM Corporation
Examiner: Unassigned : Intellectual Property Law
Serial No.: 09/431,833 : Dept. 917, Bldg. 006-1
Filed: November 2, 1999 : 3605 Highway 52 North
Title: INTELLIGENT AGENT WITH : Rochester, MN 55901
NEGOTIATION CAPABILITY AND
METHOD OF NEGOTIATION
THEREWITH

Assistant Commissioner for Patents
Washington, D.C. 20231

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APR 26 2002

Technology Center 2100

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ORIGINALLY FILED

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(Date of Deposit)

Debra A. Peterson

INFORMATION DISCLOSURE STATEMENT

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. 09/431,833
Docket No. RO996-054LB

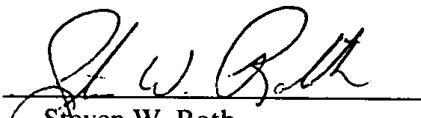
PATENT

The references listed herein were cited in a search report by a foreign patent office, a copy of which is attached hereto. If any references listed herein are in a language other than English, said foreign search report is submitted in satisfaction of the requirements of 37 C.F.R. 1.98(a)(3). Unless a translation accompanies this Statement, no written English-language translation of any non-English language document, or portion thereof, listed herein, is within the possession custody or control of, or is readily available to any individual designated in 37 C.F.R. 1.56(c).

This Information Disclosure Statement is being filed under 37 C.F.R. 1.97(c) before a final action or notice of allowance. No filing fee is required because each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement (certification provided below).

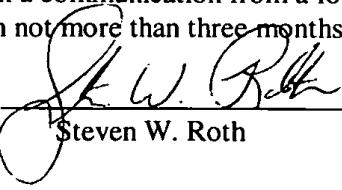
Respectfully submitted,

By


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Fax: 1-507-253-2382

CERTIFICATION

I hereby certify that each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.


Steven W. Roth

April 16, 2002

Date

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
RO996-054LBSERIAL NO.
09/431,833APPLICANT:
Joseph P. Bigus et al.FILING DATE:
November 2, 1999GROUP:
2762COPY OF PAPERS
ORIGINALLY FILED

APR 23 2002

U.S. PATENT DOCUMENTS

REFERENCE DESIGNATION

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (IF APPRO.)
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
						YES	NO
AL	JP 5 03 5 4 8 1	02/12/93	Japan	G06F	9/44	Abstract	
AM	JP 4 36 0 2 3 7	12/14/92	Japan	G06F	9/44	Abstract	
AN							
AO							
AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR		
AS		
AT		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

***** Information Materials for IDS *****

To ROC IP Law Docket Number ROC9-1996-0054 Date March 11, 2002

Prepared by TAISEI TUKADA Date of JPO Office Action December 12, 2001

Applied Art (The following reference(s) were cited by JPO Examiner as Prior art to the following JP claims)

Ref.	Patent Document No. or Title	Publication Date (MM/DD/YY)	English abs. or counterpart document available (Y/N)	JP claim(s)
A	PUPA 5-35421	2/12/1993	N	5,32
B	PUPA 4-360237	12/14/1992	N	5,32
C				
D				
E				
F				

<NOTE>

◇ PUPA : Published Unexamined Patent Application

to be continued

◇ PUUMA : Published Unexamined Utility Model Application

◇ PEPA : Published Examined Patent Application

◇ JP : Japanese Patent

◇ PEUMA : Published Examined Utility Model Application

◇ * : Reference being filed before and published after the priority application date of the subject docket

Background Art (The following reference(s) were cited but not applied to the JP claims.)

Ref.	Patent Document No. or Title	Ref.	Patent Document No. or Title
bgA		bgD	
bgB		bgE	
bgC		bgF	

Comment, if any :

to be continued